

FIG. 1

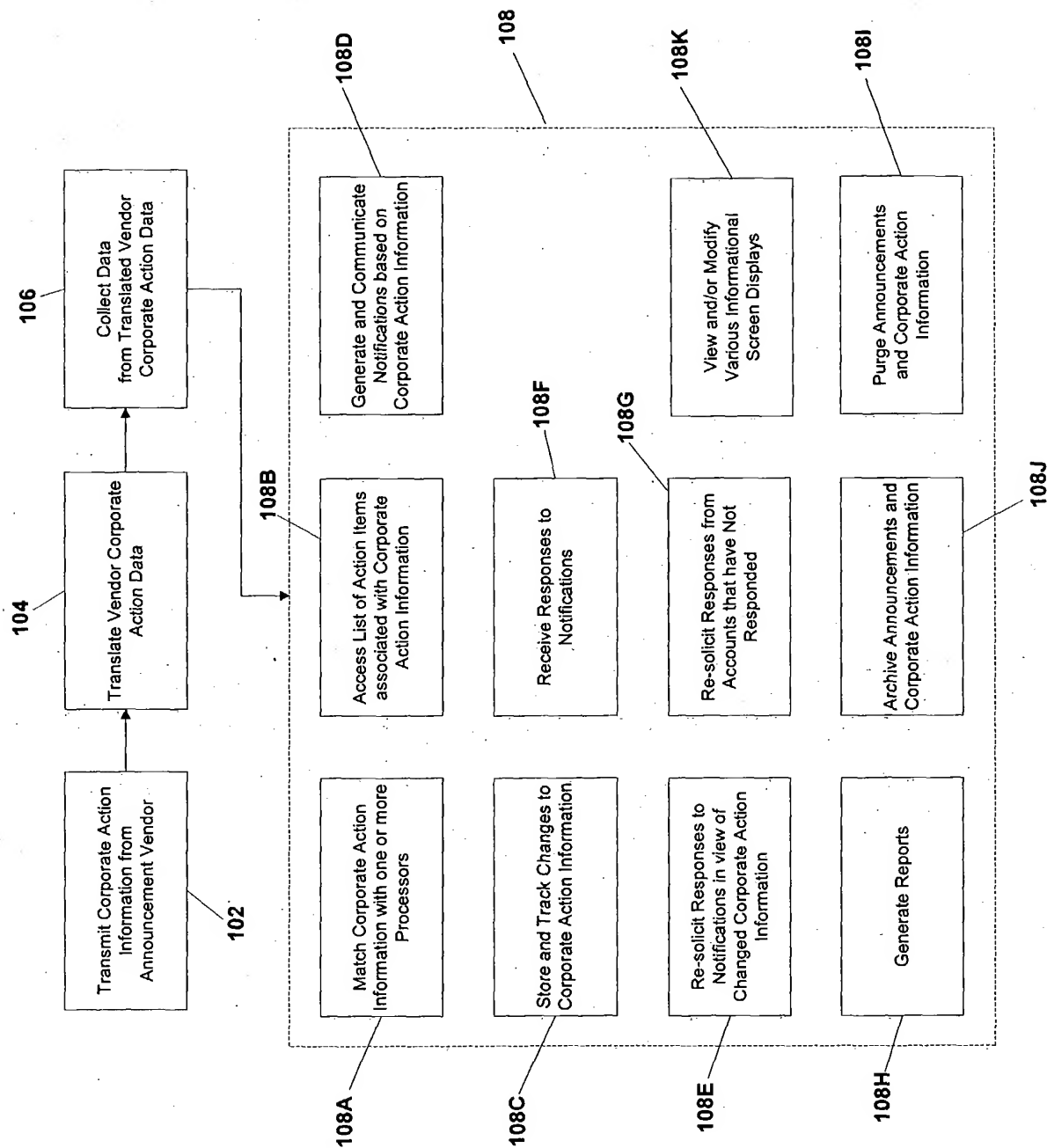


FIG. 2

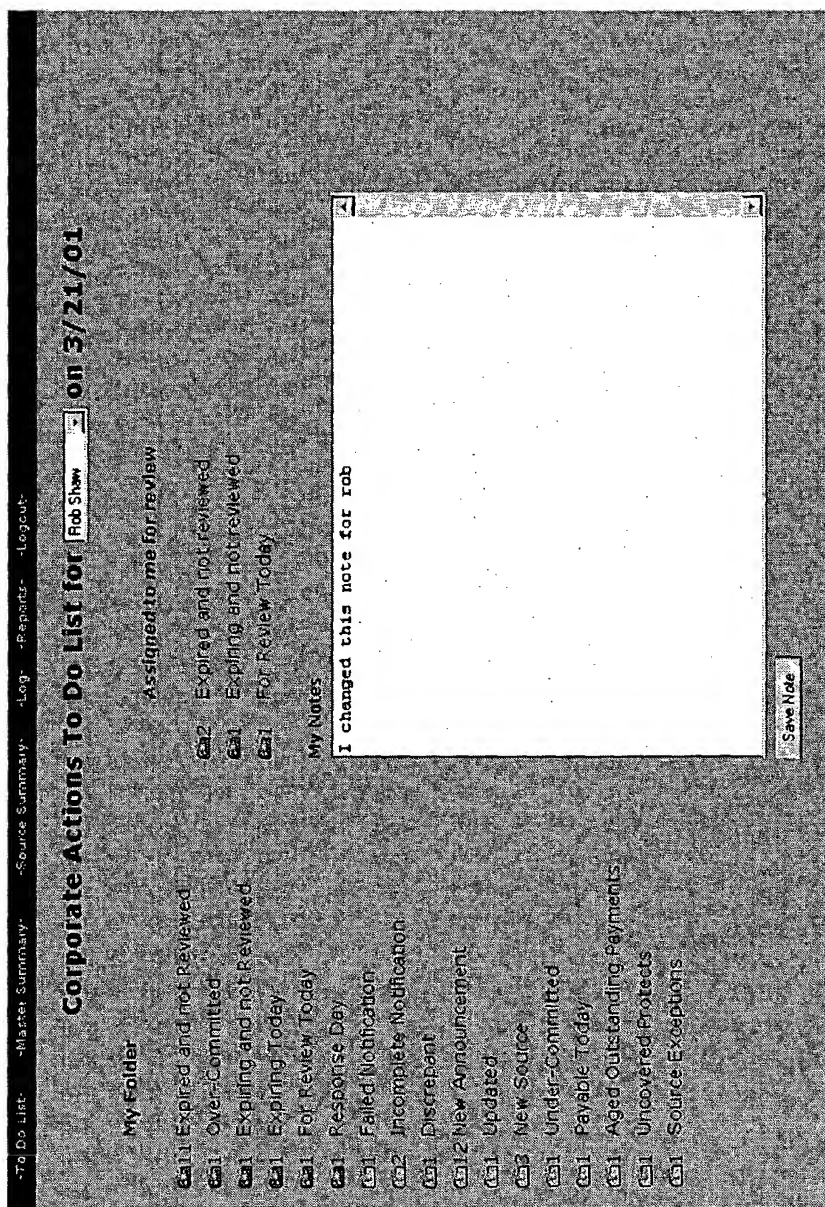


FIG. 3

PNC Corporate Action Types

Valid PNC Indicator values represent the following types of announcements: Mandatory, Voluntary, Redemption, Informational, Class Action.

PNC Event Type	PNC Action Type	PNC Indicator	Eligibility Calculation	Xcitek Code	Important Date 1	Important Date 2	Display Template
Exchange / Conversions	Conversion	Volu	Expiration Date	L	Expiration Date		Vol
	Conversion	Mand	Effective Date	L	Effective Date	DTC ASD (Anticipated Swing Date)	Eff
	Exchange	Mand	Effective Date	X, ?, U	Effective Date	DTC ASD	Eff
	Exchange	Volu	Expiration Date	X	Expiration Date		Vol
	Unit Split	Mand	Effective Date	S	Effective Date	DTC ASD	Eff
	Partial Pre-Refunding	Mand	Publication Date	=	Depository Lottery Date	Publication Date	Red

FIG. 4A

PNC Event Type	PNC Action Type	PNC Indicator	Eligibility Calculation	Xcitek Code	Important Date 1	Important Date 2	Display Template
Splits / Distributions	Distribution	Mand	Ex-Date (if present) OR Static Record Date.	#	Ex Date	Payable Date	Ex
	Spin-Off	Mand	Ex-Date (XRP or RPX)	9	Ex Date	Due Bill Settlement	Ex
	Stock Split	Mand	Ex-Date (RPX)	7	Ex Date	Due Bill Settlement	Ex
Reverse Splits / Name Changes	Reverse Stock Split	Mand	Effective Date	+	Effective Date	DTC ASD	Eff
	Name Change	Mand	Effective Date	\$	Effective Date	DTC ASD	Eff
Dividends	Stock Dividend	Mand	Ex-Date OR Static Record Date	/	Payable Date		Ex
	Optional Dividend	Volu	Ex-Date (if present) OR Static Record Date.	#	Expiration Date	Payable Date	Vol

FIG. 4B

PNC Event Type	PNC Action Type	PNC Indicator	Eligibility Calculation	Xcitek Code	Important Date 1	Important Date 2	Display Template
Redemptions	Full Pre-Refundings	Redm	Current Position	I	Redemption Date		Red
	Full Redemption	Redm	Payable Date	* (star)	Redemption Date		Red
	Partial Redemption	Redm	Publication Date	2	Depository Lottery Date	Redemption Date	Red
	Put - Mandatory	Redm	Redemption Date	I	Redemption Date		Red
	Put - Mandatory with Right to Retain	Volu	Expiration Date	I	Expiration Date	Payable Date	Vol
	Put - Optional	Volu	Expiration Date	V	Expiration Date	Payable Date	Vol
	UIT Terminations	Volu	Expiration Date	E	Expiration Date	Payable Date	Vol
	Conversion / Redemption	Volu	Expiration Date	L and * or 2	Expiration Date	Payable Date	Vol

FIG. 4C

PNC Event Type	PNC Action Type	PNC Indicator	Eligibility Calculation	Xcitek Code	Important Date 1	Important Date 2	Display Template
Mergers	Merger	Mand	Effective Date	M	Effective Date	DTC ASD	Eff
	Merger Election	Volu	Expiration Date	-	Expiration Date		Vol
Rights / Warrants	Rights Issue	Mand	Ex-Date OR Static Record Date	%	Ex Date	Payable Date	Vol
	Rights Offer	Volu	Expiration Date	%	Expiration Date		Vol
	Warrant Exercise	Volu	Expiration Date	H	Expiration Date		Vol
	Rights Plan – Adoption	Inf	Effective Date	8	Effective Date		Eff

FIG. 4D

PNC Event Type	PNC Action Type	PNC Indicator	Eligibility Calculation	Xcitek Code	Important Date 1	Important Date 2	Di play Template
Offers	Consent	Volu	Record Date (if present) OR Expiration Date	(Expiration Date	Record Date	Vol
	Tender-Consent	Volu	Expiration Date)	Expiration Date	Record Date	Vol
	Odd Lot Tender	Volu	Odd Lot Record Date (if present); OR Odd Lot Expiration Date.	:	Expiration Date	Record Date	Vol
	Tender Offer	Volu	Expiration Date	T	Expiration Date		Vol
	Fixed Spread Tender	Volu	Expiration Date	T	Expiration Date		Vol
	Mini-Tenders	Volu	Expiration Date	T	Expiration Date		Vol

FIG. 4E

PNC Event Type	PNC Action Type	PNC Indicator	Eligibility Calculation	Xcitek Code	Important Date 1	Important Date 2	Display Template
Bids	Dutch Auction	Volu	Expiration Date	T	Expiration Date		Vol
	Bid Tender	Volu	Expiration Date	T	Expiration Date		Vol
Others	Bankruptcy	Inf	Effective Date; calculate entitlement once	&	Announcement Date		Eff
	Consent	Inf	Record Date (if present) OR Expiration Date	(Expiration Date		Vol
	Default	Inf	Effective Date	Z	Announcement Date		Eff
	Escrow to Maturity	Inf	Effective Date	>	Announcement Date		Red
	Informational	Inf	Current Holders	E, O	Announcement Date		Eff
	Informational	Volu	Current Holders	E	Expiration Date		Vol
	Lawsuit	Clas	None	W	Expiration Date		Vol
	Liquidation	Mand	Static Record Date	K	Effective Date	Payable Date	Eff
	Registration Statement	Volu	Expiration Date		Expiration Date		Vol

FIG. 4F

[To Do List](#)
[Master Summary](#)
[Source Summary](#)
[Log](#)
[Report](#)
[Logout](#)

Master Announcement Summary

Filter chosen: Cusip

Filter values chosen: 031909203, 042666206, 15131W109

Cusip

☐ Include All Specialist Assignments

Cusip	Description	Important Date1	Important Date2	Action Type	Incl	Assigned To	Updated	Not Fully Responded	New Holders	Not Reviewed	Complete	Max Offer	Assoc	Status	Uncovered Protects	CASPR ID
031909203	AMRESKO INC	07/31/2001		Tender Offer	V	Kim		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>		Active		S300
042666206	ARONEX PHARM	07/31/2001		Merger	M	Kim								Active		S193
15131W109	CENIT BANCOR			Merger	M	Kim								Active		S174

Action Type:

FIG. 5

-To Do List-		-Master Summary-		-Source Summary-		-Log-		-Reports-		-Logout-	
Security ID: 009491AD2		Action Type: Consent		ImpDate1: 8/25/2000							
Description1		Assigned To: Sharon		ImpDate2: 10/25/2000							
Description2		Unique ID: 4		PNC Deadline: 10/25/2000							
Description3											

FIG. 6



FIG. 7

http://caoptinfoa.corpaction/ Microsoft Internet Explorer provided by Desktop Technologies

File Edit View Favorites Tools Help

Address http://caoptinfoa.corpaction/

-To Do List- -Master Summary- -Source Summary- -Log- -Reports- -Logout-

Security ID: 52276MAC9 Action Type: Exchange PNC Expiration Date: 07/23/2002 05:00 PM

Expiration Date is discrepant

Field History

☐ Vendor Updates

Date Received	Vendor	Expiration Date
7/25/02 3:28:15 AM	XCITEK	07/25/2002 05:00 PM
7/13/02 3:11:06 AM	XCITEK	07/23/2002 05:00 PM
7/13/02 3:11:04 AM	XCITEK	07/23/2002 05:00 PM
7/12/02 3:42:33 AM	XCITEK	07/23/2002
7/12/02 3:42:30 AM	XCITEK	07/23/2002 05:00 PM

☐ Manual Update

New Value: 07/23/2002 17:00 24HR

Vendor/Source: xcitek

☒ Re-Calculate PNC-Deadline and PNC Expiration Dates

Notes:

Accept

Announcements

- * Exchange
- * No Action
- ** Create Opt

Notification Test Holders

- Criteria
- Notification H
- Bundle
- Export
- Audit Log
- Clear All Pass
- Agent Informa
- Source Text

Notes

- Announceme
- Instructions
- Reviewer
- Audit Log

Local intranet

FIG. 8A

http://cooptimaa/corpection/ Microsoft Internet Explorer provided by Desktop Technologies

File Edit View Favorites Tools Help

Address http://cooptimaa/corpection/

-To Do List- -Master Summary- -Source Summary- -Log- -Reports- -Logout-

Security ID: 450624108
INTERPOOL

Action Type: Rights Issue
Action Indicator: Mandatory
Assigned To: Rob
CASPR ID: 12113

Ex Data
Payable Date:
PNC Deadline: 08/06/2002 05:00 PM

PNC Expiration was automatically updated

Field History

Vendor Updates	Vendor	PNC Expiration	Cur
Date Received	XCITEK	08/08/2002	

☐ Manual Update

New Value: 08 / 08 / 2002 17 00 24HR

Vendor/Source: xcitek

☒ Re-Calculate PNC Deadline Date

Notes:

Accept

Local Intranet

*Announc
 Options
 Rights
 **Crea
 Notificati
 Holders
 Criteria
 Notific
 Bundle
 Export
 Audit L
 Clear A
 Agent Im
 Source T
 Notes
 Annou
 Instruc
 Review
 Audit Log
 Merge

FIG. 8B

Microsoft Internet Explorer provided by Desktop Technologies

Address: <http://caopinfo.com>

-To Do List- -Master Summary- -Source Summary- -Log- -Reports- -Logout-

File Edit View Favorites Tools Help

Announcements
Options
Exchange
No Action
** Create Opt
Notification Te
Holders
Criteria
Notification
Bundle
Export
Audit Log
Clear All Res
Agent Informa
Source Text
Notes
Announcements
Instructions
Pawewer
Audit Log

Field History for Expiration Date

Date Received	Vendor	Expiration Date
7/19/02 4:37:25 AM	XGITEK	08/13/2002 05:00 PM
7/17/02 3:12:03 AM	XGITEK	08/13/2002
7/17/02 3:12:01 AM	XGITEK	08/13/2002 05:00 PM

☐ Vendor Updates

☐ Manual Update

New Value: 08/13/2002 17:00 24HR

Vendor/Source: xctek

☒ Re-Calculate PNC Deadline and PNC Expiration Dates

Accept

Notes:

Done Local Intranet

FIG. 8C

-To Do List- *Announcement C Options Tender Offer ** Create Option Notification Text Holders Notification Hist Bundle Audit Log Agent Information Source Text Notes Announcements Instructions Reviewer Audit Log Merge		-Master Summary- Security ID: 23385AF4 DAINLERCHRYSLER NA-HLDG CONB BDS 07/125% DUE 03/01/2002 Action Type: Tender Offer Action Indicator: Voluntary Assigned To: ROB CASPR ID: 15749		-Log- PNC Expiration Date: 02/21/2001 05:00 PM PNC Deadline: 02/16/2001 05:00 PM		-Report- Logout	
--	--	---	--	--	--	--------------------	--

Master Announcement

☐ Do not refresh position
☐ Release for automatic notification

Offer: KH FINANC
 PNC Deadline: 02/16/2001 05:00 PM
 PNC Expiration: 02/21/2001 05:00 PM
 Depository Expiration Date: 02/21/2001 05:00 PM
 Expiration Date: 02/21/2001 05:00 PM
☐ Withdrawal Privilege

Payable Date:
 Anticipated Payment Date:
 Proration Rate:
 Proration Date:
 Record Date:
 Ex Date:

☐ Withdrawal
☐ Withdrawal Privilege

PNC Expiration Date: 02/23/2001 04:44 PM
 Created:
 Updated:
 Notified:

Withdrawal Date:
 Protect Date:
☐ Protect Covered
☐ Guarantees Submitted
 Default Option
 Max Eligible Shares:

Processing Status: Active
 Announcement Status: New

☐ Reviewed
☐ Waiting Payment

FIG. 9

Internet Explorer - Microsoft Internet Explorer provided by Desktop Technologies

Address: <http://abctest14.caopb.com/corpxion/>

File Edit View Favorites Tools Help

Go

Log Out - Master Summary - Source Summary - Log - Reports - Logout

Security ID	Action Type	Tender Offer	PNC Expiration Date	PNC Expiration Date
BRAND SCAFFOLD SVCS INC	Action Indicator	Voluntary	10/10/2002 05:00 PM	10/10/2002 05:00 PM
SRNT	Assigned To:	Krista	PNC Deadline	10/08/2002 05:00 PM
10.250% DUE 02/15/2008	CASPR ID:	14115		

Tender

☐ Reviewed

☒ Requires Surrender

☐ Withdrawal Privilege

☐ Withdrawal Date: 10/10/2002

☐ Protect Date: 10/15/2002

☐ Record Date:

☐ Ex Date:

Entitlement	Asset	Description	Rate	Price	Typ
DR Cash	CASH USD				
	US Dollar				

Contract CUSIP: 10599AAE3

Announcement

Options

Tender

No Action

**Create Opt

Notification Text

Holders

Criteria

Notification E

Bundle

Export

Audit Log

Clear All Res

Agent Informa

Source Text

Notes

Announceme

Instructions

Reviewer

Audit Log

Merge

Local Intranet

FIG. 10

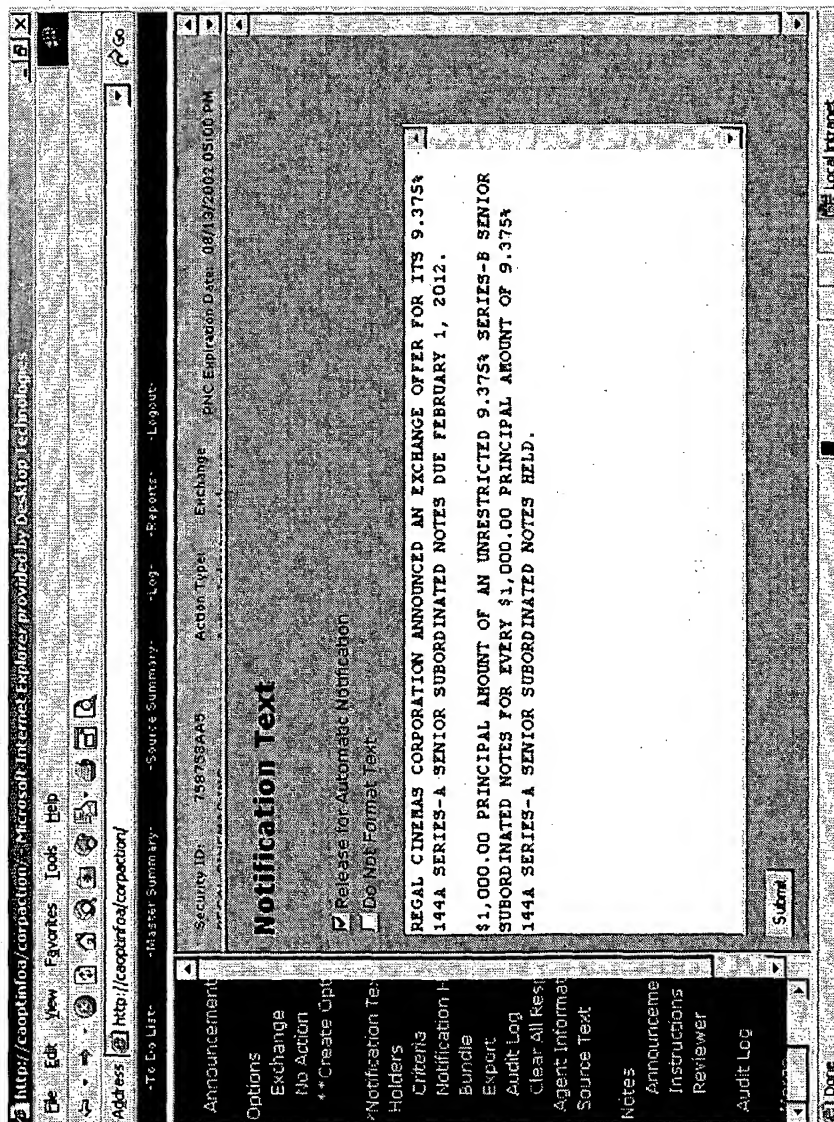


FIG. 12

http://caopinfo/corparcton/ Microsoft Internet Explorer provided by Desktop Technology

File Edit View Favorites Tools Help

Address http://caopinfo/corparcton/

-To Do List- -Master Summary- -Source Summary- -Log- -Reports- -Logout-

Security ID: 1258753AA5 Action Type: Exchange PNC Expiration Date: 08/13/2002 05:00 PM
 REGAL CINEMAS INC. Action Initiation: Voluntary
 SR SUBNTS SERIES 144A Assigned To: Mat PNC Deadline: 08/09/2002 05:00 PM
 9.375% 2/1/12 CALLABLE 2/1/07 CASPR ID: 12679

Holders Criteria: ☐ Do not refresh position ☒ Release for automatic notification

Account Range: 00-00-000-0000000 thru 99-99-999-9999999

Subm Export Clear Responses Notify

Page 1 of 1

Account	Account Name	Adv	Regis	WH	Response Status	Position Status	Position	All	Exchange	All
XX-XX-XX-XXXXXX	Fund A	20224	77	77	Unres	Unchgd	1,500,000			
XX-XX-XX-XXXXXX	Fund B	34981	77	77	Unres	New	1,000,000			
XX-XX-XX-XXXXXX	Fund C	34981	80	80	Unres	Decr	3,000,000			
XX-XX-XX-XXXXXX	Fund D	34951	77	77	Unres	Unchgd	625,000			
XX-XX-XX-XXXXXX	Fund E	34951	77	77	Unres	Unchgd	1,950,000			

Account: Regis: WH: Position:

Subm Export Clear Responses Notify

Local Intranet

FIG. 13

2 http://caoptinfo/corpection/ Microsoft Internet Explorer provided by Desktop Technology

File Edit View Favorites Tools Help

Address http://caoptinfo/corpection/

-To Do List- -Master Summary- -Source Summary- -Log- -Export- -Logout-

Security ID: 758753AA5	Action Type: Exchange	PNC Expiration Date: 08/13/2002 05:00 PM
REGAL CINEMA INC	Action Indicator: Voluntary	
SR SUB NT3 SERIES 144A	Assigned To: Marc	PNC Deadline: 08/09/2002 05:00 PM
9325% 2/11/2001 12:00 PM	PASOR ID: 12626	

Show Zero Position Accounts

Select Account No.
(use ctrl/alt)

Enter Account No.
(use '*' for wildcard)

Advisor No.

LMG

Regis

WH

Response Status

Position Status

Position

Exchange

No Action

Subma

Done

Local intranet

☐ Unresponded ☐ Responded ☐ Over Committed ☐ Under Committed
☐ Increase ☐ Decrease ☐ Unchange ☐ New

FIG. 14

-To Co List- -Master Summary- -Source Summary- -Log- -Report- -Logout-																					
Announcement Data Options Exchange Action Action Type Exchange PNC Expiration Date 09/27/2003 05:00 PM * TM Create Option* TM PNC Action Indicator Voluntary PNC 09/29/2003 05:00 PM 07 750% DUE 02/15/2031 CONS BDS SERIES 144A Assigned To Sharon PNC Deadline 09/29/2003 05:00 PM CASPR ID 3270																					
Response Summary																					
<table border="1"> <thead> <tr> <th></th> <th>Eligible</th> <th>Unresponded</th> <th>Exchange</th> <th>No Action</th> </tr> </thead> <tbody> <tr> <td>Bank 20 - WH72</td> <td>980,000.000</td> <td>510,000.000</td> <td>0.000</td> <td>370,000.000</td> </tr> <tr> <td>Bank 32 - WH72</td> <td>115,000.000</td> <td>0.000</td> <td>65,000.000</td> <td>50,000.000</td> </tr> <tr> <td>Total WH72</td> <td>995,000.000</td> <td>510,000.000</td> <td>65,000.000</td> <td>420,000.000</td> </tr> </tbody> </table>			Eligible	Unresponded	Exchange	No Action	Bank 20 - WH72	980,000.000	510,000.000	0.000	370,000.000	Bank 32 - WH72	115,000.000	0.000	65,000.000	50,000.000	Total WH72	995,000.000	510,000.000	65,000.000	420,000.000
	Eligible	Unresponded	Exchange	No Action																	
Bank 20 - WH72	980,000.000	510,000.000	0.000	370,000.000																	
Bank 32 - WH72	115,000.000	0.000	65,000.000	50,000.000																	
Total WH72	995,000.000	510,000.000	65,000.000	420,000.000																	

FIG. 15

To Go List After Summary Source Summary Log Export Logout	
Announcement ID: Options CASH OPTION STOCK OPTION CASH & STOCK **Create Option	Security ID: 007566102 ADVEST GROUP INC Action Type: Merger-Election Action Indication: Voluntary Assigned To: Admin CASPR ID: 16740 PNC Expiration Date: 03/29/2001 PNC Deadline: 03/29/2001 05:00 PM
<h3 style="text-align: center;">Vendor Source Text</h3> <p><input checked="" type="checkbox"/> New Source has not been reviewed</p> <p>Source received VOTER on 1/26/01</p>	
<div style="border: 1px solid black; padding: 5px;"> <p>OPT:01: "ADVEST GROUP, INC." UPDTEXT: 481127:</p> <p>"HONY GROUP, INC." ANNOUNCED THAT THEY HAVE AGREED TO ACQUIRE ADVEST GROUP, INC., THROUGH A THREE OPTION MERGER ELECTION.</p> <p>OPTION NUMBER ONE: CASH OPTION</p> <p>TERMS: FOR EACH ADVEST COMMON SHARE, HOLDERS WILL RECEIVE A \$31.59 IN CASH.</p> <p>THE ELECTION EXPIRATION AND WITHDRAWAL PRIVILEGES WILL EXPIRE ON JANUARY 29, 2001 (05:00 PM EST).</p> <p>NOTE: THE ELECTION IS SUBJECT TO PRORATION TO PRODUCE A PURCHASE</p> </div> <p>Source received VOTER on 1/26/01</p>	
<div style="border: 1px solid black; padding: 5px;"> <p>OPT:02: "ADVEST GROUP, INC." UPDTEXT: 481128:</p> <p>"HONY GROUP, INC." ANNOUNCED THAT THEY HAVE AGREED TO ACQUIRE ADVEST GROUP, INC., THROUGH A THREE OPTION MERGER ELECTION.</p> <p>OPTION NUMBER TWO: STOCK OPTION</p> <p>TERMS: FOR EACH ADVEST COMMON SHARE, HOLDERS WILL RECEIVE 0.8696 OF A SHARE OF HONY GROUP COMMON STOCK.</p> <p>THE ELECTION EXPIRATION AND WITHDRAWAL PRIVILEGES WILL EXPIRE ON JANUARY 29, 2001 (05:00 PM EST).</p> <p>NOTE: THE ELECTION IS SUBJECT TO PRORATION TO PRODUCE A PURCHASE</p> </div> <p>Source received VOTER on 1/26/01</p>	
<div> Notes Announcement Instructions Reviewer Audit Log Merge </div>	

FIG. 16

-To Do List-		-Master Summary-		-Source Summary-		-Log-		-Reports-		-Log out-	
Announcement C		Security ID:		137160871		Action Type:		Partial Redemption		Depository Lottery Data	
Notification Text		BROOKHAVEN NY INDIC DEV AGY IN				Action Indicator:		Redemption		Payable Date	
Holders		DEV REV REALTY ISLAND ADC INC				Assigned To:		Sharon		PAC Deadline	
		06-300% DUE 12/01/19 BK ENTRY				CASPR ID:		15732			
<h3>Agent Contact Information</h3>											
<p>DEPO. 1 STATE STREET BANK AND TRUST COMPANY</p> <p>DEPO. 2 2 AVENUE DE LAFAYETTE FIFTH FLOOR BOSTON MA 02111-1724</p> <p>DEPO. 3 TEL. 800-531-0368</p>											
<p>Notes</p> <p>Announcement</p> <p>Instructions</p> <p>Review</p> <p>Agent Log</p> <p>Merge</p>											
<p>Source Text</p>											
<p>Agent Information</p>											
<p>Bundle</p>											
<p>Bundle Log</p>											
<p>Submit</p>											

FIG. 17

-To Do List-	-Master Summary-	-Source Summary-	-Log-	-Reports-	-Logout-
--------------	------------------	------------------	-------	-----------	----------

Announcement D: Options CASH OPTION STOCK OPTION CASH & STOCK ***Create Option	Security ID: 1007566102 ADVEST GROUP INC	Action Type: Action Indicator: Voluntary	Margin Election Assigned To: pawin CASPR ID: 16740	PNC Expiration Date: 01/25/2001 PNC Deadline: 01/25/2001 05:00 PM
---	---	---	--	--

Announcement Notes

2/24/01 7:46:45 PM RS	This is a note
-----------------------	----------------

--	--

--	--

Notification Text Holdings Notification Hist Bundle Audit Log Agent Information Source Text	Notes Announcement Instructions Reviewer Audit Log Merge
---	---

FIG. 18

http://caopinfo/corpection/ Microsoft Internet Explorer provided by Desktop Technologies

File Edit View Favorites Tools Help

Address http://caopinfo/corpection/

-To Do List- -Master Summary- -Source Summary- -Log- -Reports- -Logout-

Announcement ID: 709223109
 Security ID: PENNZOIL QUAKER ST CO NEW
 MERGED 10/12/2002 \$22.00 P/S

Action Type: Merger
 Action Indicator: Mandatory
 Assigned To: Leora
 CASPR ID: 8315

Effective Date: 10/01/2002
 DTC ASD:
 PNC Deadline:

Master Announcement

☐ Do not refresh position

Offeror: ROYAL DUTC
 Meeting Date: 08/01/2002
 Effective Date: 10/01/2002

Processing Status: New
 Announcement Status: Created
 Updated

Anticipated Payment Date:
 Dissenter's Rights

Entitlement	Asset	Description	Rate	Price	Typ
CR Cash	CASH USD	Price	(Add Rate)	22.00000	PRC
DR Cash	CASH USD				
	US Dollar				

Local Intranet

Announcement ID:
 Notification Hist
 Holders
 Criteria
 Notification Hist
 Bundle
 Export
 Audit Log
 Clear All Respon
 Agent Information
 Source Text
 Notes
 Announcement
 Instructions
 Reviewer
 Audit Log
 Merge

FIG. 19B

http://casprinfo.com/corporation/ Microsoft Internet Explorer provided by Desktop Technology

File Edit View Favorites Tools Help

Address http://casprinfo.com/corporation/

- To Do List - Master Summary - Source Summary - Lsg - Reports - Logout

Security ID: 815266H0 Action Types Partial Redemptions Payable Date: 10/15/2002
 SEDGWICK & SHAWNEE CNTYS Action Redemption Redemption Date: Lottery
 KANS Indicator
 REV MTG BKD SECS 01 SER 01 BE Assigned To: Part Fed PNC Deadline
 50% 12/01/19 CALLABLE 06/01/1 CASPR ID: 15401

Master Announcement

☐ Do not refresh position Processing Status: Completed New

Publication Date: 10/01/2002 Announcement Status: 10/03/2002 05
 10/04/2002 04
 Redemption Date: 10/15/2002 Updated: 08/28/2001
 Accrued Interest Rate: 18.61111100 Dated Date:

Entitlement	Asset	Description	Rate	Price	Typ
GR Cash	CASH USD	Price	(Add Rate)	1050.00000	REC
GR Security	999999999	999999999	5.85000	(Add Price)	
DR Cash	CASH USD				
	US Dollar				

Start Kimberly... PNCBAN... PNCADM... CASPR S...

Announcement D
 Notification Text
 Holders
 Criteria
 Notification Hist
 Bundle
 Export
 Audit Log
 Clear All Respon
 Agent Information
 Source Text
 Notes
 Announcements
 Instructions
 Reviewer
 Audit Log
 Merge

9:21

FIG. 19C



The Thinking Behind The Money.

Corporate Action Notification

Date: 01/11/2001 @ 12:52 PM

To: Local Market Group Name

Responses Due

Action Type: Consent

Security Name: Advest Group

Desc Line 2

Desc Line 3

CUSIP #: 123456789

Offeror: rtkjdsklfjksldurioe

Record Date: mm/dd/yyyy

Ex Date: mm/dd/yyyy

PNC Specialist: Sharon Graham

Telephone #: 215-749-8998

Fax #: 215-749-3939

CASPR ID: 123456

Withdrawal Privilege: Yes (No)

Withdrawal Date: mm/dd/yyyy

Announcement Status: New

PNC Deadline: mm/dd/yyyy @ 5:00pm

Expiration Date: mm/dd/yyyy @ 12:00am

Depos. Expiration Date: mm/dd/yyyy @ 12:00am

Payable Date: mm/dd/yyyy

Terms: this would be the announcement text as found in the master announcement.

There could be many lines.

Officer/Advisor: 999 - T Rowe Price

Account Number Name	Pos Status	Current Position	Any & All	Cash & All	Stock	Any & All	Cash & Stock	Any & All	Option 4	Any & All	Option 5
xx-xx-xxx-xxxxxxx A. Individual	N	400,000	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>	
xx-xx-xxx-xxxxxxx B. Company	I	79,300,000	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>	
xx-xx-xxx-xxxxxxx C. Company		120,933,000	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>	
xx-xx-xxx-xxxxxxx D. Company	FY1 WH 01	1,000	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>	

CHECKING THE ANY & ALL BOX FOR AN ELECTION WILL APPLY TO ALL CURRENT AND SUBSEQUENT INCREASES TO POSITIONS

ANY RESPONSES RECEIVED AFTER THE PNC DEADLINE WILL BE PROCESSED ON A BEST EFFORTS BASIS ONLY

AUTHORIZED SIGNATURE

DATE

FIG. 21

-To Do List-		-Master Summary-		-Log-		-Reports-		-Logout-	
Announcements Options CASH OPTION STOCK OPTION CASH & STOCK >> Create Option Notification Text Holders Notification Hist Bundle Audit Log Agent Information Source Text Notes Announcements Instructions Reviewer Audit Log Merge		Security ID: 007566102 ADVEST GROUP INC Action Type: Merge/Election Action Indicator: Voluntary Assigned To: psain CASPR ID: 16740		PRC Expiration Date: 05/29/2001 PRC Deadline: 07/23/2001 05:00 PM					
Merge Master *** WARNING *** YOU CANNOT UNDO A MERGE ACTION. DO NOT ATTEMPT TO MERGE THESE ANNOUNCEMENTS UNLESS YOU ARE SURE THIS IS WHAT YOU WANT TO DO									
Merge CASPRID 16740 Into CASPRID <input type="text"/> <input type="button" value="Continue Merge"/>									

FIG. 22A

-To Co List- -Master Summary- -Source Summary- -Log- -Reports- -Logout-	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%;"> Security ID: 047054CR6 ATHENS-CLARKE CNTY GA INDL DEV AUTH INDL-DEV-REV 06.250% DUE 01/01/2008 </td> <td style="width: 30%;"> Action Type: Partial Redemption Action Indicator: Redemption Assigned To: Sharon CASPR ID: 16731 </td> <td style="width: 40%;"> Depository Lottery Data Payable Date PNC Deadline: </td> </tr> </table>	Security ID: 047054CR6 ATHENS-CLARKE CNTY GA INDL DEV AUTH INDL-DEV-REV 06.250% DUE 01/01/2008	Action Type: Partial Redemption Action Indicator: Redemption Assigned To: Sharon CASPR ID: 16731	Depository Lottery Data Payable Date PNC Deadline:	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%; text-align: center;"> Merge Master </td> <td style="width: 70%;"> <p>*** WARNING *** - YOU CANNOT UNDO A MERGE ACTION. DO NOT ATTEMPT TO MERGE THESE ANNOUNCEMENTS UNLESS YOU ARE SURE THIS IS WHAT YOU WANT TO DO.</p> <p>Surviving Master CASPRID - Dead Master CASPRID - 16731</p> </td> </tr> </table>	Merge Master	<p>*** WARNING *** - YOU CANNOT UNDO A MERGE ACTION. DO NOT ATTEMPT TO MERGE THESE ANNOUNCEMENTS UNLESS YOU ARE SURE THIS IS WHAT YOU WANT TO DO.</p> <p>Surviving Master CASPRID - Dead Master CASPRID - 16731</p>																												
Security ID: 047054CR6 ATHENS-CLARKE CNTY GA INDL DEV AUTH INDL-DEV-REV 06.250% DUE 01/01/2008	Action Type: Partial Redemption Action Indicator: Redemption Assigned To: Sharon CASPR ID: 16731	Depository Lottery Data Payable Date PNC Deadline:																																	
Merge Master	<p>*** WARNING *** - YOU CANNOT UNDO A MERGE ACTION. DO NOT ATTEMPT TO MERGE THESE ANNOUNCEMENTS UNLESS YOU ARE SURE THIS IS WHAT YOU WANT TO DO.</p> <p>Surviving Master CASPRID - Dead Master CASPRID - 16731</p>																																		
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 30%;">Option Information</th> <th style="width: 30%;">Merge Action</th> </tr> <tr> <td> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2">Partial Redemption</th> </tr> <tr> <td>Publication Date</td> <td>12/29/2000</td> </tr> <tr> <td>Maturity Date</td> <td>01/01/2008</td> </tr> <tr> <td>Redemption Date</td> <td>01/01/2001</td> </tr> <tr> <td>TERM - Price</td> <td>\$1000.00000 CR</td> </tr> <tr> <td>(CASH USD)</td> <td>Cash</td> </tr> </table> </td> <td> <div style="border: 1px solid black; padding: 2px; text-align: center;">Merge Masters</div> </td> </tr> </table>			Option Information	Merge Action	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2">Partial Redemption</th> </tr> <tr> <td>Publication Date</td> <td>12/29/2000</td> </tr> <tr> <td>Maturity Date</td> <td>01/01/2008</td> </tr> <tr> <td>Redemption Date</td> <td>01/01/2001</td> </tr> <tr> <td>TERM - Price</td> <td>\$1000.00000 CR</td> </tr> <tr> <td>(CASH USD)</td> <td>Cash</td> </tr> </table>	Partial Redemption		Publication Date	12/29/2000	Maturity Date	01/01/2008	Redemption Date	01/01/2001	TERM - Price	\$1000.00000 CR	(CASH USD)	Cash	<div style="border: 1px solid black; padding: 2px; text-align: center;">Merge Masters</div>																
Option Information	Merge Action																																		
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2">Partial Redemption</th> </tr> <tr> <td>Publication Date</td> <td>12/29/2000</td> </tr> <tr> <td>Maturity Date</td> <td>01/01/2008</td> </tr> <tr> <td>Redemption Date</td> <td>01/01/2001</td> </tr> <tr> <td>TERM - Price</td> <td>\$1000.00000 CR</td> </tr> <tr> <td>(CASH USD)</td> <td>Cash</td> </tr> </table>	Partial Redemption		Publication Date	12/29/2000	Maturity Date	01/01/2008	Redemption Date	01/01/2001	TERM - Price	\$1000.00000 CR	(CASH USD)	Cash	<div style="border: 1px solid black; padding: 2px; text-align: center;">Merge Masters</div>																						
Partial Redemption																																			
Publication Date	12/29/2000																																		
Maturity Date	01/01/2008																																		
Redemption Date	01/01/2001																																		
TERM - Price	\$1000.00000 CR																																		
(CASH USD)	Cash																																		
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 30%;">Option Information</th> <th style="width: 70%;">Merge Masters</th> </tr> <tr> <td> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2">CASH OPTION</th> </tr> <tr> <td>PNC Deadline Date</td> <td>01/25/2001</td> </tr> <tr> <td>PNC Expiration Date</td> <td>01/29/2001</td> </tr> <tr> <td>Withdrawal Date</td> <td>01/29/2001</td> </tr> <tr> <td>Protected Date</td> <td>01/29/2001</td> </tr> <tr> <td>TERM - Price</td> <td>\$31.59000 CR</td> </tr> <tr> <td>(CASH USD)</td> <td>Cash</td> </tr> </table> </td> <td> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2">STOCK OPTION</th> </tr> <tr> <td>PNC Deadline Date</td> <td>01/25/2001</td> </tr> <tr> <td>PNC Expiration Date</td> <td>01/29/2001</td> </tr> <tr> <td>Withdrawal Date</td> <td>01/29/2001</td> </tr> <tr> <td>Protected Date</td> <td>01/29/2001</td> </tr> <tr> <td>TERM - Price</td> <td>\$0.00000 CR</td> </tr> <tr> <td>(CASH USD)</td> <td>Cash</td> </tr> </table> </td> </tr> </table>			Option Information	Merge Masters	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2">CASH OPTION</th> </tr> <tr> <td>PNC Deadline Date</td> <td>01/25/2001</td> </tr> <tr> <td>PNC Expiration Date</td> <td>01/29/2001</td> </tr> <tr> <td>Withdrawal Date</td> <td>01/29/2001</td> </tr> <tr> <td>Protected Date</td> <td>01/29/2001</td> </tr> <tr> <td>TERM - Price</td> <td>\$31.59000 CR</td> </tr> <tr> <td>(CASH USD)</td> <td>Cash</td> </tr> </table>	CASH OPTION		PNC Deadline Date	01/25/2001	PNC Expiration Date	01/29/2001	Withdrawal Date	01/29/2001	Protected Date	01/29/2001	TERM - Price	\$31.59000 CR	(CASH USD)	Cash	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2">STOCK OPTION</th> </tr> <tr> <td>PNC Deadline Date</td> <td>01/25/2001</td> </tr> <tr> <td>PNC Expiration Date</td> <td>01/29/2001</td> </tr> <tr> <td>Withdrawal Date</td> <td>01/29/2001</td> </tr> <tr> <td>Protected Date</td> <td>01/29/2001</td> </tr> <tr> <td>TERM - Price</td> <td>\$0.00000 CR</td> </tr> <tr> <td>(CASH USD)</td> <td>Cash</td> </tr> </table>	STOCK OPTION		PNC Deadline Date	01/25/2001	PNC Expiration Date	01/29/2001	Withdrawal Date	01/29/2001	Protected Date	01/29/2001	TERM - Price	\$0.00000 CR	(CASH USD)	Cash
Option Information	Merge Masters																																		
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2">CASH OPTION</th> </tr> <tr> <td>PNC Deadline Date</td> <td>01/25/2001</td> </tr> <tr> <td>PNC Expiration Date</td> <td>01/29/2001</td> </tr> <tr> <td>Withdrawal Date</td> <td>01/29/2001</td> </tr> <tr> <td>Protected Date</td> <td>01/29/2001</td> </tr> <tr> <td>TERM - Price</td> <td>\$31.59000 CR</td> </tr> <tr> <td>(CASH USD)</td> <td>Cash</td> </tr> </table>	CASH OPTION		PNC Deadline Date	01/25/2001	PNC Expiration Date	01/29/2001	Withdrawal Date	01/29/2001	Protected Date	01/29/2001	TERM - Price	\$31.59000 CR	(CASH USD)	Cash	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2">STOCK OPTION</th> </tr> <tr> <td>PNC Deadline Date</td> <td>01/25/2001</td> </tr> <tr> <td>PNC Expiration Date</td> <td>01/29/2001</td> </tr> <tr> <td>Withdrawal Date</td> <td>01/29/2001</td> </tr> <tr> <td>Protected Date</td> <td>01/29/2001</td> </tr> <tr> <td>TERM - Price</td> <td>\$0.00000 CR</td> </tr> <tr> <td>(CASH USD)</td> <td>Cash</td> </tr> </table>	STOCK OPTION		PNC Deadline Date	01/25/2001	PNC Expiration Date	01/29/2001	Withdrawal Date	01/29/2001	Protected Date	01/29/2001	TERM - Price	\$0.00000 CR	(CASH USD)	Cash						
CASH OPTION																																			
PNC Deadline Date	01/25/2001																																		
PNC Expiration Date	01/29/2001																																		
Withdrawal Date	01/29/2001																																		
Protected Date	01/29/2001																																		
TERM - Price	\$31.59000 CR																																		
(CASH USD)	Cash																																		
STOCK OPTION																																			
PNC Deadline Date	01/25/2001																																		
PNC Expiration Date	01/29/2001																																		
Withdrawal Date	01/29/2001																																		
Protected Date	01/29/2001																																		
TERM - Price	\$0.00000 CR																																		
(CASH USD)	Cash																																		

FIG. 22B

To Do List Master Summary Source Summary Log Reports Logout																																									
Announcement Data Notification Text Holders Modification Hist Bundle Audit Log Agent Information Source Text Notes Announcement Instructions Reviewer Audit Log Merge	<div> <div> Security ID: 047051CR6 ATHENS-CLARKE CTRY GA UNCL DEV AUTH INCL DEV REV 04/25/00% DUE 01/01/2008 </div> <div> Action Type: Partial Redemption Action Indicator: Redemption Assigned To: Sharon CASPR ID: 16731 </div> <div> Depository Lateral Data: Payable Date: PNC Deadline: </div> </div> <div> <h3>Merge Master</h3> <p>*** WARNING *** YOU CANNOT UNDO A MERGE ACTION. DO NOT ATTEMPT TO MERGE THESE ANNOUNCEMENTS UNLESS YOU ARE SURE THIS IS WHAT YOU WANT TO DO.</p> <p>The Masters were merged successfully.</p> <h3>Merged Master</h3> <table border="1"> <thead> <tr> <th colspan="2">Option Information</th> </tr> </thead> <tbody> <tr> <td colspan="2">CASH OPTION</td> </tr> <tr> <td>PNC Deadline Date</td> <td>01/25/2001</td> </tr> <tr> <td>PNC Expiration Date</td> <td>01/29/2001</td> </tr> <tr> <td>Withdrawal Date</td> <td>01/29/2001</td> </tr> <tr> <td>Expiration Date</td> <td>01/29/2001</td> </tr> <tr> <td>Protect Date</td> <td>01/03/EXPD</td> </tr> <tr> <td>TERM - Price (CASH USD)</td> <td>\$31.50000 CR Cash</td> </tr> <tr> <td colspan="2">STOCK OPTION</td> </tr> <tr> <td>PNC Deadline Date</td> <td>01/25/2001</td> </tr> <tr> <td>PNC Expiration Date</td> <td>01/29/2001</td> </tr> <tr> <td>Withdrawal Date</td> <td>01/29/2001</td> </tr> <tr> <td>Expiration Date</td> <td>01/29/2001</td> </tr> <tr> <td>Protect Date</td> <td>01/03/EXPD</td> </tr> <tr> <td colspan="2">CASH & STOCK OPTION</td> </tr> <tr> <td>PNC Deadline Date</td> <td>01/25/2001</td> </tr> <tr> <td>PNC Expiration Date</td> <td>01/29/2001</td> </tr> <tr> <td>Withdrawal Date</td> <td>01/29/2001</td> </tr> <tr> <td>Expiration Date</td> <td>01/29/2001</td> </tr> <tr> <td>Protect Date</td> <td>01/03/EXPD</td> </tr> </tbody> </table> </div>	Option Information		CASH OPTION		PNC Deadline Date	01/25/2001	PNC Expiration Date	01/29/2001	Withdrawal Date	01/29/2001	Expiration Date	01/29/2001	Protect Date	01/03/EXPD	TERM - Price (CASH USD)	\$31.50000 CR Cash	STOCK OPTION		PNC Deadline Date	01/25/2001	PNC Expiration Date	01/29/2001	Withdrawal Date	01/29/2001	Expiration Date	01/29/2001	Protect Date	01/03/EXPD	CASH & STOCK OPTION		PNC Deadline Date	01/25/2001	PNC Expiration Date	01/29/2001	Withdrawal Date	01/29/2001	Expiration Date	01/29/2001	Protect Date	01/03/EXPD
Option Information																																									
CASH OPTION																																									
PNC Deadline Date	01/25/2001																																								
PNC Expiration Date	01/29/2001																																								
Withdrawal Date	01/29/2001																																								
Expiration Date	01/29/2001																																								
Protect Date	01/03/EXPD																																								
TERM - Price (CASH USD)	\$31.50000 CR Cash																																								
STOCK OPTION																																									
PNC Deadline Date	01/25/2001																																								
PNC Expiration Date	01/29/2001																																								
Withdrawal Date	01/29/2001																																								
Expiration Date	01/29/2001																																								
Protect Date	01/03/EXPD																																								
CASH & STOCK OPTION																																									
PNC Deadline Date	01/25/2001																																								
PNC Expiration Date	01/29/2001																																								
Withdrawal Date	01/29/2001																																								
Expiration Date	01/29/2001																																								
Protect Date	01/03/EXPD																																								

FIG. 22C

Create Master Announcement									
Action Type:	Conversion								
Action Ind:	Voluntary								
CUSIP:									
Offeror:									
Record Date:									
Ex Date:									
Expiration Date:									
Depository Expiration Date:									
<input type="checkbox"/> Withdrawal Privilege:									
Withdrawal Date:									
Payable Date:									
Anticipated Payment Date:									
Vendor/Bound Notes:	Select One								
Purchase Price:									
Premium Rate:									
Proton Rate:									

Submit

FIG. 23A

-To Do List-

-Master Summary-

-Source Summary-

-Log-

-Reports-

-Logout-

Create Master Announcement

Action Type

Action Ind.

CUSIP

Offeror

Effective Date

Waiting Date

DTC Swing Date

Anticipated Payment Date

☐
Disaster's Rights

Margot

Mandatory

Vendor/Source

Select One

Notes

Entitlement	Asset	Description	Rate	Price
CR Security				
CR Security				

Submit

FIG. 23B

-To Do List-

-Master Summary-

-Source Summary-

-Log-

-Reports-

-Logout-

Create Master Announcement

Action Type

Action Info

CUSIP

Offeror

Declaration Date

Record Date

Payable Date

Anticipated Payment Date

Ex Date

Due Bill Settlement Date

Stock Split

Mandatory

Vendor/Source

Select One

Notes

Entitlement	Asset	Description	Rate	Price
CR Security				
CR Security				

Submit

FIG. 23C

-To Do List-

-Master Summary-

-Source Summary-

-Log-

-Report-

-Logout-

Create Master Announcement

Action Type:
Action Ind:
CUBIP:
Offeror:
Declaration Date:
Record Date:
Payable Date:
Anticipated Payment Date:
Ex Date:
Due Bill Settlement Date:

Stock Split
Mandatory

Vendor/Source
Notes

Select One

Entitlement	Asset	Description	Rate	Price
CR Security				
CR Security				

Submit

FIG. 23D

-To Do List- -Master Summary- -Source Summary- -Log- -Report- -Logout-	
Announcement Op Options Conversion No Action **Create Option	Security ID: 017361106 ALLEGHENY ENERGY INC Action Type: Conversion Action Indicator: Voluntary Assigned To: Sharon CASPR ID: 9371 PNC Expiration Date: 10/26/2001 05:00 PM PNC Deadline: 10/24/2001 05:00 PM
<h3>Vendor Source Text</h3> <p>Source created from PNC on 8/16/01 10:52:08 AM</p> <p>[MANUAL NEW MASTER] User Initials = KS Vendor/Source = Bloomberg Note = Reference Issue 7389</p>	
Notification Text Holders Notification Hist Bundle Export Audit Log Agent Information Source Text	
Notes Announcements Instructions Reviewer Audit Log Merge	

FIG. 23E

-To Do List- -Master Summary- -Source Summary- -Log- -Report- -Logout-	
Announcement Details Options: Conversion, No Action, Create Option	
Notification Text Holders: Notification Hist, Bundle, Export, Audit Log, Agent Information, Source Text	
Notes Announcement, Instructions, Reviewer, Audit Log, Merge	

Security ID: 017361106 ALLEGHENY ENERGY INC	Action Type: Conversion Action Indication: Voluntary Assigned To: Sharon CASPR ID: 5371	PNC Expiration Date: 10/26/2001 05:00 PM PNC Deadline: 10/24/2001 05:00 PM
--	--	---

Vendor Source Detail

Status:	Linked	Senders Reference:	PE-16-10-43-53
Info Provider:	PNC	PNC Processing Date:	8/15/01 10:52:08 AM
Expiration Date:	PNC	PNC CUSIP:	017361106
Master Link:	5371	Description:	ALLEGHENY ENERGY INC
		Agent Contact:	
		PNC ActionType:	Conversion

Full Source Announcement:
 ActionType = Conversion
 ActionInd = Voluntary
 CUSIP = 017361106
 Offeror = ABC CO.
 WithdrawalPriv = 1
 DissentersRight = 0
 Date = 20011020 Qual = RDTE Format = A
 Date = 200110261700 Qual = 9PEX Format = C
 Date = 200110241700 Qual = 9POL Format = C
 Date = 200110271700 Qual = EXPD Format = C
 Date = 20011103 Qual = POOT Format = A

FIG. 23F

-To Do List-

-Master Summary-

-Source Summary-

-Log-

-Report-

-Logout-

Source Announcement Summary

Filter chosen: No Filter

Please Select -

apply

☒ Include All Process Status

Load

☐ Include All Specialist Assignments

Date Range:

From: 08 / 16 / 2001

To: 08 / 16 / 2001

Cusip:

Page 1 of 6

Next

7123456

Cusip	Description	Create Date	Action Type	Ind	Status	Assigned To	Expire Date	Sender Reference	VendorID
577873AA0	MAYAN NETW	7/23/01	Exchange	V	NHolders	Kim		544802	XCITEK
132524109	CAMBRIDGE	7/23/01	Merger	M	Linked	Kim		544823	XCITEK
018521105	ALLIANCE B	7/23/01	Merger	M	Linked	Kim		544824	XCITEK
948497103	SPIEKER PR	7/23/01	Merger	M	Linked	Kim		544825	XCITEK
914900105	UNO RESTAU	7/23/01	Merger	M	Linked	Kim		544826	XCITEK
832245104	SMITHFIELD	7/23/01	Merger	M	NHolders	Kim		544827	XCITEK
15131W109	CENIT BANC	7/23/01	Merger	M	Linked	Kim		544828	XCITEK
453841AAS	INDEPENDEN	7/23/01	Exchange	M	NHolders	Kim	1212917		XCITEK
15131W109	CENIT BANC	7/23/01	Merger	M	Linked	Kim		544829	XCITEK
716731104	PETROMET R	7/23/01	Merger	M	NHolders	Kim		544841	XCITEK
81726X100	SENSORY SC	7/23/01	Merger	M	Linked	Kim		544849	XCITEK

FIG. 24

Vendor Source Detail

[illegible]

Full Source Announcement:

DEAL# : 1 TEXTP: 510091 REMARKS:LAWSUIT ENT: 01/26/01 (2001)
TARGET:184376AA7 SOUTHERN P: INIT:9999999999 IND:WUWB: EFF:02/12/01 (2001)
CASH: 0.0000 N: PROTECT: T.AGENT INFO: EXP:03/12/01 (2001)
RATE: 0.0000 N: 1.00000V:DTC: PRATE: 0.000000 PRO:10/09/97 (1997)
OPT:01 CONTINUATION OF TEXT NO. 510090 PRO:10/09/98 (1998)
THE DEADLINE FOR FILING A CLAIM: MARCH 12, 2001
COPIES OF THE NOTICE AND FORMS MAY BE OBTAINED BY WRITING TO:
SPEC SECURITIES LITIGATION
C/O CLAIMS ADMINISTRATOR
GILARDI & CO. LLC
P.O. BOX 5100
UPDTEXT: 504624

<div> <div>-To Do List-</div> <div>-Master Summary-</div> <div>-Source Summary-</div> <div>-Log-</div> <div>-Reports-</div> <div>-Logout-</div> </div>						
Vendor Feed Summary Log						
Start Time	End Time	Vendor	Processed	Created	Exceptions	
8/15/01 10:35:53 AM	8/15/01 10:35:54 AM	XCITEK	1	1	0	
8/3/01 1:21:17 PM	8/3/01 1:21:18 PM	XCITEK	1	1	0	
8/3/01 1:19:18 PM	8/3/01 1:19:18 PM	XCITEK	0	0	0	
8/3/01 1:16:26 PM	8/3/01 1:16:26 PM	XCITEK	0	0	0	
8/2/01 12:00:37 PM	8/2/01 12:04:23 PM	XCITEK	1116	1116	0	
7/31/01 3:26:12 PM	7/31/01 3:28:12 PM	XCITEK	0	0	0	
7/30/01 3:02:55 PM	7/30/01 3:02:56 PM	XCITEK	1	1	0	
7/30/01 2:45:14 PM	7/30/01 2:45:15 PM	XCITEK	1	1	1	
7/30/01 2:39:52 PM	7/30/01 2:39:53 PM	XCITEK	1	1	1	
7/30/01 2:38:25 PM	7/30/01 2:38:25 PM	XCITEK	0	0	0	
7/25/01 2:02:29 PM	7/25/01 2:02:29 PM	XCITEK	2	2	0	
7/25/01 9:55:02 AM	7/25/01 9:55:02 AM	XCITEK	1	1	1	
7/25/01 9:52:22 AM	7/25/01 9:52:22 AM	XCITEK	1	1	0	
7/23/01 4:32:11 PM	7/23/01 4:32:11 PM	XCITEK	1	1	0	
7/23/01 4:27:36 PM	7/23/01 4:29:03 PM	XCITEK	578	578	1	

FIG. 26A

-To Do List-

-Master Summary-

-Source Summary-

-Log-

-Report-

-Logout-

Vendor Feed Detail Log

Return to Log Summary

☐ Show All Log Messages

Vendor	Senders Reference	Load Time	Status	Reason
EXITEC	544803	7/23/01 4:27:41 PM	Exception	7/23/01 4:27:44 PM: EXITEC: 544803: Exception: Reason=Missing Action Type

Return to Log Summary

FIG. 26B

-To Do List- -Master Summary- -Source Summary- -Log- -Report- -Logout-	
Announcement ID:	
Options:	
CASH OPTION:	
STOCK OPTION:	
CASH & STOCK:	
**Create Option	
Notification Text:	
Holders:	
Notification Mail:	
Bundle:	
Audit Log:	
Agent Information:	
Source Text:	
Poles:	
Announcement:	
Instructions:	
Reviewer:	
Audit Log	
Merge	

Security ID: 007565102	
ADVEST GROUP INC	
Action Type: Merge-Election	
Action Indicator: Voluntary	
Assigned To: Devin	
CASPR ID: 16740	
pnc Expiration Date: 01/29/2001	
pnc Deadline: 01/25/2001 05:00 PM	

Audit Log Summary

Data Range: 02 / 10 / 2001 to 02 / 24 / 2001 Refresh

Master Changes Summary

Charges

Field	Charges
AGENT/CONTRACT	1
NEWMASTER	1
OPTION/STOCK OPTION	1
STOCK OPTION - 2	1
OPTION/CASH/STOCK OPTION	1
CASH & STOCK OPTION - 3	1

Delete Summary

Merge Summary

This Master has not been merged.

Source Changes Summary

This Master has no Source changes.

FIG. 27A

-To Do List- -Market Summary- -Source Summary- -Log- -Report- -Logout-	
Security ID: 0075651B2 Action Type: Manager-Direction PNC Expiration Date: 01/29/2001 ADVERT GROUP INC Action Indicator: Voluntary PNC Deadline: 01/25/2001 05:00 PM CASPR ID: 16740	
Audit Changes Detail for AGENT CONTACT	
Change Date: 2/23/01 4:44:27 PM Specialist: New Value System: 3100 DEROSTARY BOSTON LEQUISERVE LP TEL 781 575	Return to Audit Summary
-To Do List- -Market Summary- -Source Summary- -Log- -Report- -Logout-	
Announcement D: Options: CASH OPTION STOCK OPTION CASH & STOCK + Create Option Indication Text: Holder: Bundle: Audit Log: Agent Information: Source Text: Notes: Announcement: Instructions: Reviewer: Audit Log: Merge:	

FIG. 27B

-Master Summary-	-Source Summary-	-Log-	-Reports-	-Logout-
Security ID: 002041102 AROC ING		Action Types: Tenders Offer Action Indicator: Voluntary Assigned To: Kim CASPR ID: 5240		
Account Range: 00-00-000-0000000 thru \$9-99-999-9999999		Date Range: 08 / 02 / 2001 to 08 / 16 / 2001 Refresh		
Holders Audit Summary				
CUSIP	Account Number	Where Held	Changes	
002041102	XXXXXXXXXXXXXX	77	4	
002041102	XXXXXXXXXXXXXX	78	1	

FIG. 28A

-To Do List- -Market Summary- -Source Summary- -Log- -Reports- -Logout-													
Announcement ID: Options Tender Offer 1* Create Option Notification Text Holders Notification Hist Bundle Audit Log Agent Information Source Text Notes Announcement Instructions Reviewer Audit Log Merge	<table border="1"> <tr> <td>Security ID: 233835AFA</td> <td>Action Type: Tender Offer</td> <td>PNC Expiration Date: 02/21/2001 05:00 PM</td> </tr> <tr> <td>DAIMLERCHRYSLER NA HLDG</td> <td>Action Indicator: Voluntary</td> <td></td> </tr> <tr> <td>CONS BDS</td> <td>Assigned To: Rob</td> <td>PNC Deadline: 02/16/2001 09:00 PM</td> </tr> <tr> <td>07:125% DUE 03/01/2002</td> <td>CASPR ID: 15749</td> <td></td> </tr> </table> <p>Please verify these local market group before sending notifications</p> <p>Local Market Group: Massachusetts Advisor 23014 - JENNIFER GRIMMINS Local Market Group: GINGER GROUP Advisor 34941 - JOHN OLSHEFSKI Local Market Group: Philadelphia Advisor 35489 - STEPHEN E KELLY Advisor 35421 - MARY ELLEN DURKOSH Local Market Group: Western Region Advisor 80074 - STEPHEN E KELLY Advisor 10079 - JOHN P HAGAN Advisor 10101 - DALE DOMINICK Advisor 16430 - GAIL ZILKA Advisor 20513 - JEAN FETH Local Market Group: New Jersey Advisor 42783 - MURRAY BARNETT Local Market Group: Delaware Advisor 47011 - CHRISTOPHER B DRIVER</p> <p> <input type="button" value="Send"/> <input type="button" value="Cancel"/> </p>	Security ID: 233835AFA	Action Type: Tender Offer	PNC Expiration Date: 02/21/2001 05:00 PM	DAIMLERCHRYSLER NA HLDG	Action Indicator: Voluntary		CONS BDS	Assigned To: Rob	PNC Deadline: 02/16/2001 09:00 PM	07:125% DUE 03/01/2002	CASPR ID: 15749	
Security ID: 233835AFA	Action Type: Tender Offer	PNC Expiration Date: 02/21/2001 05:00 PM											
DAIMLERCHRYSLER NA HLDG	Action Indicator: Voluntary												
CONS BDS	Assigned To: Rob	PNC Deadline: 02/16/2001 09:00 PM											
07:125% DUE 03/01/2002	CASPR ID: 15749												

FIG. 29

First Order Second Order

Date Range: 02 / 24 / 2001 to 02 / 24 / 2001

ACCOUNT #:

CUST:

ADVISOR:

Find

FIG. 30

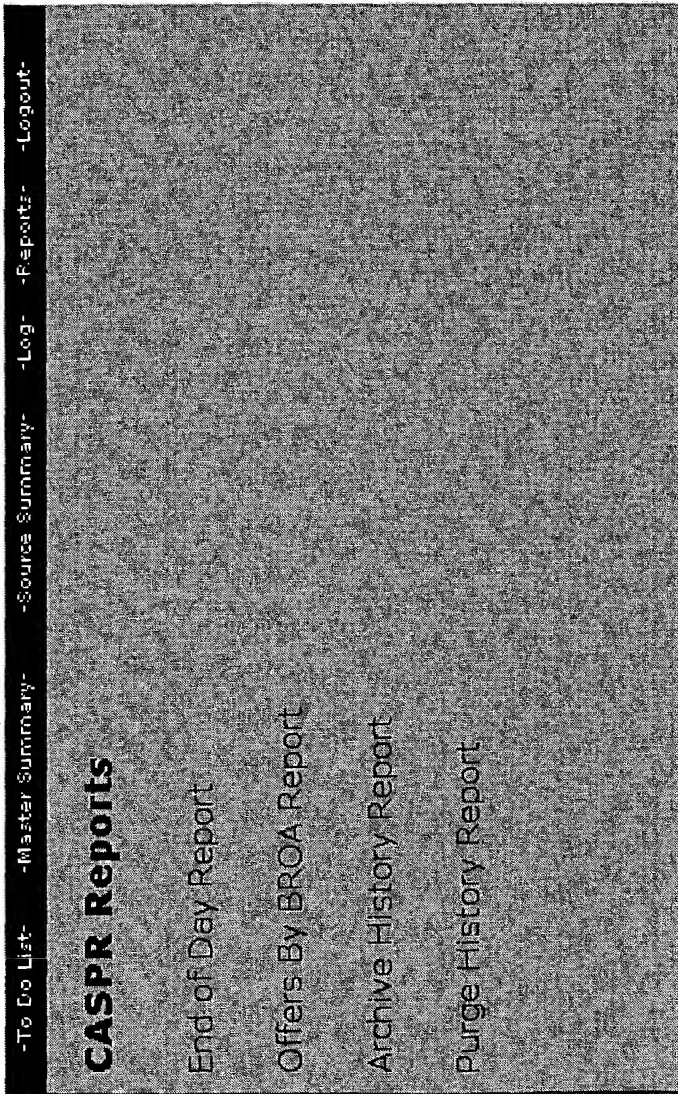


FIG. 31

-To Do List- -Master Summary- -Source Summary- -Log- -Reports- -Logout-			
CASPR End of Day Report - 7/7/01 1:20:43 PM			
KIM SEAMAN - FAN FORTIFICATION			
CASPRID	ActionType	CUSIP	Description
3392	Tender Offer	123456789	A Description
KIM SEAMAN - EXPENSES AND ACCOUNTS REVIEWED (PROPOSED)			
CASPRID	ActionType	CUSIP	Description
3399	Informational	989455abz	WILLIAMS COMM GROUP INC
KIM SEAMAN - SECURITIES EXCEPTIONS			
CASPRID	ActionType	CUSIP	Description
6720	Bad Action	938824108	
MELISSA GO - SECURITIES NOTIFICATION			
CASPRID	ActionType	CUSIP	Description
3389	Put-Optional	957087CPE	
MELISSA GO - SECURITIES NOTIFICATION			
CASPRID	ActionType	CUSIP	Description
3391	Optional Dividend	44AAA31DU	
3387	Tender Offer	775741108	

FIG. 32

-To Do List- -Master Summary- -Source Summary- -Log- -Reports- -Logout-

Offers By BROA Report

Bank [20] Region [] Office [002] Account [] Find

CUSIP	WhereHeld	Position	FullRedemption	Payable Date
152314AWD CENTEX-HOME EQUITY LN TR			Action Type: Full Redemption Assigned To: Brian G	
XXXXXXXXXXXX	77		101,156.21 0	

CUSIP	WhereHeld	Position	Merger	Effective Date
15640T100 CENTURA BANKS INC			Action Type: Merger Assigned To: Sharon	DTG ASD:
XXXXXXXXXXXX	77		1700 0	
XXXXXXXXXXXX	77		1800 0	

CUSIP	WhereHeld	Position	OddLotTender	PNC Expiration Date
172867101 CITI GROUP INC			Action Type: Odd Lot Tender Assigned To: pavin	02/16/2001 05:00 PM Record Date: 01/16/2001
XXXXXXXXXXXX	77		0 0	

FIG. 33

Archive History Report

CASPRID CUSIP Event Type

☐ Archive Date From: / / To: / /

CASPRID	CUSIP	Action Type	Archive Date	File Name
5304	017361106	Informational	8/16/01 1:56:54 PM	CAArchive081620015304.html
5176	298173108	Exchange	8/16/01 1:56:49 PM	CAArchive081620015176.html
5170	132524109	Merge	8/16/01 1:56:47 PM	CAArchive081620015170.html
5166	518567102	Tender Offer	8/16/01 1:56:46 PM	CAArchive081620015166.html

FIG. 34

-To Do List- -Market Summary- -Source Summary- -Log- -Reports- -Logout-

Purge History Report

Senders Reference: Event Type:

☐ Purge Date From: / / To: / /

Senders Reference	CUSIP	Action Type	Preparation Date	Expiration Date	Purge Date
549217	045829A03	Tender Offer	7/31/01	7/30/01	8/16/01 1:50:59 PM
549216	45844A00	Tender Offer	7/31/01	7/30/01	8/16/01 1:50:59 PM
549212	90339C106	Tender Offer	7/31/01	7/27/01	8/16/01 1:50:59 PM
549211	947336103	Exchange	7/31/01	7/30/01	8/16/01 1:50:58 PM
549210	222904104	Tender Offer	7/31/01	7/19/01	8/16/01 1:50:58 PM
549209	415902204	Tender Offer	7/31/01	7/19/01	8/16/01 1:50:58 PM
549208	098563107	Tender Offer	7/31/01	7/27/01	8/16/01 1:50:58 PM
549207	668685AC4	Tender Offer	7/31/01	8/15/01	8/16/01 1:50:58 PM

FIG. 35

Announcement Information

Security ID: 518567102 LAUNCH MEDIA INC	Action Type: Max Eligible Shares: -1 Disenter Rights: False Withdrawal Privilege: False Offeror: YAHOO, INC Anticipated Payment:	Important Date1: Important Date2: Protect Covered: False Guarantee Submitted: False Create Date: 7/23/01 4:29:00 PM Complete Date: 6/14/01
Assigned To: Melissa Processing Status: Completed Announcement Status: New		

Master Dates

Master Rates

TenderOffer

Contra CUSIP:	Withdrawal Privilege: False
Option Number: 1	Requires Surrender:
Default Options: False	

FIG. 36A

Option Dates

Option Rates

Expiration Date: 08/08/2001 12:00 AM
PNC Deadline Date: 08/06/2001 05:00 PM
PNC Expiration Date: 08/08/2001
Withdrawal Date: 08/08/2001

Terms Summary

Entitlement	Asset	Description	Rate	Price	Type
CR Cash	CASH USD	Price		0.92000	OFFR

No Action

Contra CUSIP:

Option Number: 100

Default Options: False

Withdrawal Privilege: False

Requires Surrender:

Option Dates

Expiration Date: 08/08/2001 12:00 AM

PNC Deadline Date: 08/06/2001 05:00 PM

PNC Expiration Date: 08/08/2001

Withdrawal Date: 08/08/2001

FIG. 36B

Option Rates

Terms Summary

Entitlement	Asset	Description	Rate	Price	Type
-------------	-------	-------------	------	-------	------

Notification Text

Agent Information

INFORMATION AGENT GEORGESON SHAREHOLDER ADDRESS 111 COMMERCE ROAD CARLSTADT NJ 07072
TELEPHONE 201-896-1900 OR 888-386-7659 **

Holders Information

Account	Registration	Where Held	Position	Tender Offer	No Action
---------	--------------	------------	----------	--------------	-----------

XXXXXXXXXXXXXX	77	77	58300	0	0
XXXXXXXXXXXXXX	77	77	38100	0	0
XXXXXXXXXXXXXX	77	77	10500	0	0
XXXXXXXXXXXXXX	77	77	800	0	0
XXXXXXXXXXXXXX	77	77	1900	0	0
XXXXXXXXXXXXXX	77	77	118200	0	0
XXXXXXXXXXXXXX	77	77	112000	0	0

FIG. 36C

Response Summary

	Eligible	Unresponded	Tender Offer	No Action
Bank 34 - WH77	339,800.000	339,800.000		
Total WH77	339,800.000	339,800.000		

Holders Audit Log Summary

Account Number	Where Held	Change Date	Old Value	Specialist
----------------	------------	-------------	-----------	------------

Announcement Notes

Instruction Notes

Reviewer Notes

Audit Log Summary	Change Date	Specialist	New Value
--------------------------	-------------	------------	-----------

Field Changes Summary			
Field			
Processing Status	8/16/01 1:53:56 PM	Kim	Completed
Option: Tender Offer-- Term: CR Cash /CASH USD			
CR CashCASH USD	7/23/01 4:29:07 PM	System	Created Term
Delete Summary			
Merge Summary			
This Master has not been merged.			
Source Changes Summary			
This Master has no Source changes.			
FIG. 36D			
Source Information for Source CASPR: 16251			
Security ID: 518567102	Action Type:	Tender Offer(V)	Important Date1: PNC Expiration Date:

Assigned To: Melissa	
----------------------	--

Source Dates

Source Rates

Option Information

Contra CUSIP:	Withdrawal Privilege: False
Option Number: 1	Requires Surrender:
Default Options: False	

Option Dates

Expiration Date: 08/08/2001 12:00 AM
PNC Deadline Date: 08/06/2001 05:00 PM
PNC Expiration Date: 08/08/2001
Withdrawal Date: 08/08/2001

Option Rates

FIG. 36E

Terms Summary

Entitlement	Asset	Description	Rate	Price	Type
CR Cash	CASH USD	Price		0.92000	OFFR

Option Information

Contra CUSIP:	Withdrawal Privilege: False
Option Number: 100	Requires Surrender:
Default Options: False	

Option Dates

Expiration Date: 08/08/2001 12:00 AM
PNC Deadline Date: 08/06/2001 05:00 PM
PNC Expiration Date: 08/08/2001
Withdrawal Date: 08/08/2001

Option Rates

Terms Summary

Entitlement	Asset	Description	Rate	Price	Type
-------------	-------	-------------	------	-------	------

FIG. 36F

Notification History

There is no Notification History for this CASPRID

Notifications Sent

Back to Top

..... ENT:08/15/00:(2000)
DEAL#: 1: TEXT#:1115396: REMARKS:EXCHANGE : IND:XUX2M: EFF:99/99/99:(9999)
TARGET:586603CK6: MENDOCINO : INIT:9999999999: :9999999999: EXP:08/15/04:(2004)
CASH: 0.00000: N: PROTECT:CA: T.AGENT:N555: INFO: : WDR:08/15/06:(2006)
RATE: 4.05000: : : 1.00000:V:DTC: : PRATE: 0.000000: PRO:99/99/99:(9999)
OPT:02: MENDOCINO COUNTY CA UPDTEXT:1106318:

REFUNDING CERTIFICATES OF PARTICIPATION

SERIES 1998

4.05% DUE 08-15-2006 DATED 05-15-2003

OPTION NUMBER TWO: CASH TENDER OPTION

TERMS: FOR EVERY \$1,000.00 PRINCIPAL AMOUNT OF CERTIFICATES,

HOLDERS MAY ELECT TO RECEIVE \$1,020.00 IN CASH PLUS ACCRUED INTEREST

UP UNTIL THE DAY BEFORE SETTLEMENT DATE (EXPECTED TO BE 09-07-2004):

HOLDERS MAY EXCHANGE A PORTION OF THEIR CERTIFICATES FOR NEW

CERTIFICATES AND A PORTION FOR CASH.

THE OFFER AND WITHDRAWAL RIGHTS EXPIRE AUGUST 15, 2004 (02:00 PM PDT).

THERE IS NO PROTECT PERIOD AFFORDED THIS OFFER.

CONTINUED ON TEXT NO. 1115397, DATED 08-15-2004 ***

..... ENT:08/15/04:(2004)

DEAL#: 1: TEXT#:1115397: REMARKS:EXCHANGE : IND:XUX2M: EFF:99/99/99:(9999)
TARGET:586603CK6: MENDOCINO : INIT:9999999999: :9999999999: EXP:08/15/04:(2004)
CASH: 0.00000: N: PROTECT:CA: T.AGENT:N555: INFO: : WDR:08/15/06:(2006)
RATE: 4.05000: : : 1.00000:V:DTC: : PRATE: 0.000000: PRO:99/99/99:(9999)
OPT:01: CONTINUATION OF TEXT NO. 1115396 UPDTEXT:1106318:

PARTICIPATING HOLDERS WILL RECEIVE AN APPRECIATION GIFT OF ONE-HALF

CASE (6 BOTTLES) OF MENDOCINO COUNTY WINE SUPPLIED BY FETZER WINERY.

HOLDERS MAY CHOOSE TO TENDER THEIR CERTIFICATES USING

DTC CONTRA CUSIP NUMBER 586MEN5K0.

TENDERING HOLDERS MUST TENDER ALL THEIR CERTIFICATES TO PARTICIPATE.

DEPOSITARY: BONDHOLDER COMMUNICATIONS GROUP

ADDRESS: 30 BROAD STREET 46TH FLOOR NEW YORK NY 10004

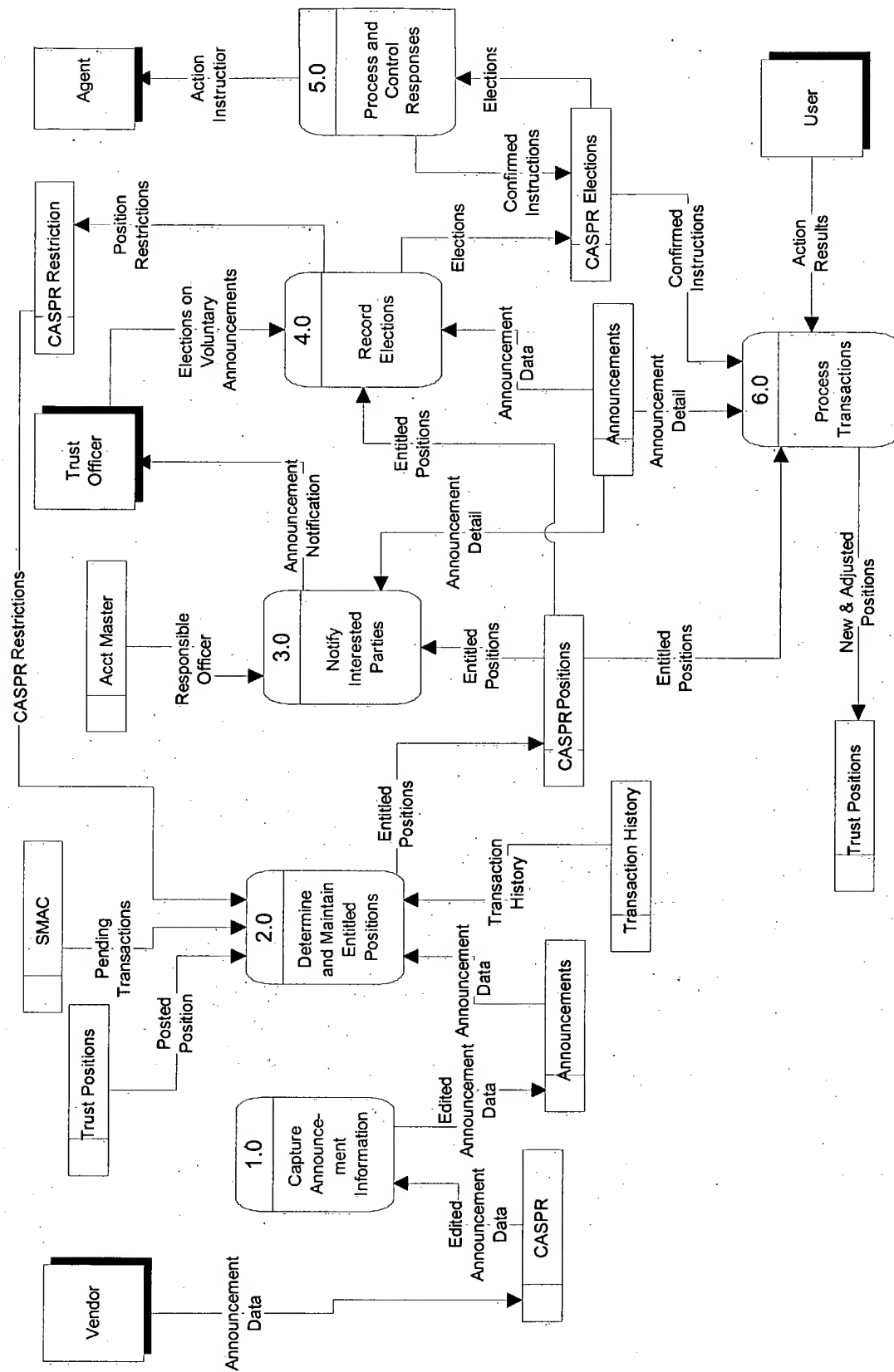


FIG. 38